Texture Evolution and Ultrafine Grain Formation in Cross-Cryo-Rolled Zircaloy-2

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Abstract The texture and mechanical properties of cross-rolled zircaloy-2 at 77 and 300 K were investigated. Cross-rolling at 77 K was performed to impart different thickness reductions of 25% and 50%, while at 300 K with 25%, 50%, 75% and 85% reductions to the sample. EBSD analysis of deformed sample showed that near-basal orientation is not deformed completely after 50% rolling reduction. The activation of prismatic slip, $\{11\bar{2}2\}$ contraction twin and $\{10\bar{1}2\}$ extension twin were evident from the deformed microstructure at 77 K. The propensity for activation of basal slip $\langle a \rangle$ at 77 K was also observed. The deformation of the sample at 300 K occurs by prismatic, basal $\langle a \rangle$ and pyramidal $\langle c + a \rangle$ slips, which were predicted by pole figures. After annealing, the tensile strengths (735 and 710 MPa) are almost the same for 50% cryo-cross-rolled and room-temperature cross-rolled zircaloy-2 with almost 2.7% difference in their ductility. KAM analysis of the deformed samples was made to estimate the stored strain energy and dislocation density. Annealing of deformed sample at 673 K for 30 min results in recrystallization, which leads to the formation of ultrafine grains.

KEY WORDS: Cross-rolling; EBSD; TEM; Ultrafine grains; Texture

1 Introduction

Zircaloy-2 is widely used in nuclear reactor due to the good corrosion resistance, mechanical properties and low neutron cross section [1–3]. The elastic, plastic and thermal properties of Zr alloys are anisotropic due to the hcp

structure of it [4]. The texture development in zircaloy-2 alloy during thermomechanical processing strongly influences its anisotropic deformation characteristics [5, 6]. Primary mode of deformation in zircaloy-2 occurs by twinning and slip as reported in the literatures [7–10]. Prismatic slip $\{10\bar{1}0\}\langle 1\bar{2}10 \rangle$ in Zr alloys has been observed at all temperatures [11, 12]. Pyramidal and basal slips in hcp alloys result in small homogeneous strain without change in volume [13]. However, prismatic and $\langle c + a \rangle$ pyramidal slips could activate at low strain easily, while basal $\langle a \rangle$ slip activates when prismatic becomes strain hardened at high strain in zirconium alloys [14, 15]. The deformation in zirconium occurs mostly by twinning at low temperature [15–17]. In extension loading along c axis, $\{10\bar{1}2\}\langle \bar{1}011 \rangle$ twin gets activated and $\{11\bar{2}1\}\langle \bar{1}\bar{1}26 \rangle$ twins get activated less often. During contraction loading along c axis, $\{11\bar{2}2\}\langle \bar{1}\bar{1}23 \rangle$ twins are active, while $\{10\bar{1}1\}\langle \bar{1}012 \rangle$ twins are also seen [10]. At 77 K, deformation is governed mainly by prismatic slip, extension twinning $\{10\bar{1}2\}\langle \bar{1}011 \rangle$,

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$\{11\bar{2}1\}\langle\bar{1}126\rangle$ and contraction twinning $\{11\bar{2}2\}\langle\bar{1}123\rangle$ as reported in the literatures [8, 10, 16, 17].

Schmid factor is an important parameter, a link between the applied stress and the critically resolved shear stress on a specific slip system. It is a relation between the applied loading directions and the slip direction of a crystal, given by the relation $\cos\phi\cos\alpha$, in which ϕ is the angle between slip direction and loading axis, while α is the angle between slip plane normal and loading axis [18]. The slip system with the highest Schmid factor would operate first. During plastic deformation, reorientation of the crystal occurs, leading to texture development in the alloy, which affects the mechanical behavior of the material and thus promotes anisotropy in property [19]. In addition to texture, grain size influences the strength of the zircaloy-2 in accordance with the Hall–Petch effect [20, 21]. The individual grains behave independently within polycrystalline materials during plastic deformation as reported by Cox and Sopwith [22]. This causes difference in the mechanical properties predicted by using theoretical and experimental results [22]. During deformation, each crystal behavior depends on the neighboring crystal, and a Taylor factor (M) was derived relating the applied stress and CRSS. This factor is widely used to predict the texture formation due to polycrystalline deformation [23, 24], which is defined as

$$M = \frac{\sigma_y}{\tau_0}, \quad (1)$$

where σ_y represents yield strength of the sample and τ_0 represents CRSS of the activated slip system.

The stored strain energy inside low carbon steel upon plastic deformation depends on the crystal orientation, which results in recrystallization texture as reported in the literature [25]. The stored strain energy of deformed materials is estimated by using the techniques such as calorimetric and diffraction [26, 27]. Diffraction method is further divided into X-rays line broadening and orientation distribution function [28, 29]. The relation between stored strain energy and Taylor factor has shown that the stored energy increases with increase in Taylor factor with some exceptions [30, 31]. To our knowledge, there is no report on effect of cross-rolling on texture, stored strain energy, microstructure and mechanical properties of zircaloy-2. Therefore, the present work was focused to study the texture, microstructure and mechanical properties of zircaloy-2 processed by cross-rolling at room temperature and liquid

nitrogen temperature. The Schmidt and Taylor factors of the deformed alloy were estimated to substantiate the formation of texture and stored strain energy, respectively.

2 Experimental Procedure

Rolled zircaloy-2 sheet with 4 mm thickness was obtained from nuclear fuel complex, Hyderabad, India, and its chemical composition is given in Table 1. Samples with dimension of 5 cm $\times$ 3 cm were cut and solid solution heat treated at 800 °C in argon environment followed by quenching in mercury. Samples after solution treatment were surface grounded and washed in methanol. The cleaned samples were then cross-rolled at room temperature and cryo-temperature by changing the orientation by 90° in every pass as shown in Fig. 1. The samples were dipped in liquid nitrogen for 10 min before each pass so as to attain cryo-temperature inside the samples. Liquid nitrogen was continuously poured over the rollers to attain cryo-temperature in rollers. Room temperature was attained by dipping the samples in water after every pass. MoSi₂ is used to reduce friction losses. A true strain of 0.04 was given in every pass. With the reduction in thickness of the sample, the rolling reduction was reduced to ensure constant true strain induced into the sample. Baby rolling mill with a speed of 8 r/min has been used to carry out cross-rolling, and the roller diameter is 110 mm.

Various characterizations such as tensile test, transmission electron microscopy (TEM), electron back scattered diffraction (EBSD) and X ray diffraction (XRD) were performed to understand the microstructural and mechanical behavior of rolled zircaloy-2. Tensile tests were performed with ASTM: E8 substandard size specimen with a gauge length of 16 mm with a strain rate of $5 \times 10^{-4} \text{ s}^{-1}$. The samples for TEM investigation were prepared by using twin jet at a voltage of 20 V at -48°C using 20:80 perchloric acid and methanol solution. TEM was performed by using FEI Tecnai-20 machine (200 kV). Electron back scatter diffraction (EBSD) was carried out by using FEI Quanta 200 FEG-SEM/EBSD with a step size of 0.1 μm . EBSD analysis was performed by TSL OIM software package. Texture XRD of the samples was carried out by Pan analytical MRD system instrument using CuK_α radiation with a step size of 0.0017° and an integration time of 400 s.

Table 1 Chemical composition of Zircaloy-2

Element	Tin (Sn)	Iron (Fe)	Chromium (Cr)	Nickel (Ni)	Nitrogen (N)
Wt	1.3–1.6	0.07–0.20	0.05–0.16	0.03–0.08	0.006

Fig. 1 Schematic diagram showing the cross-rolling method

3 Results and Discussion

3.1 TEM Observation

Initial microstructure with an average grain size of 10 μm was obtained after quenching zircaloy-2 in mercury. Prior to mercury quenching, the alloy was firstly heat treated at 800 $^{\circ}\text{C}$, so that α -structured matrix can be obtained, as reported in earlier works [20, 21]. The TEM micrograph of 25% cryo-cross-rolled (CCR) sample is shown in Fig. 2a. Dislocation cells with dislocation inside can be seen after 25% reduction in the alloy. At cryo-temperature, dislocations could accumulate easily due to suppression of dynamic recovery. The dislocation cells of very small size are formed at cryo-temperature. On the other hand, 25% room-temperature cross-rolled (RTCR) depicts the formation of dislocation cells of large size as compared to cryo-temperature, as shown in Fig. 2b. Fewer dislocations are trapped inside them after 25% reduction due to dynamic recovery at room temperature. During rolling, some of the energy is converted into heat, which is also responsible for dynamic recovery inside the grain. The dislocation cells are low-angle grain boundaries, which are also called incidental dislocation boundaries (IDBs). Since the true strain is very less, geometrically necessary boundaries (GNBs) are not observed in both the samples. When the strain is increased to 50%, dislocation density inside the cells has increased.

With the change in strain path during each rolling pass, plastic flow instability occurs due to destabilization of

substructure caused by the deformation in the new rolling direction. It affects the dislocation configuration and local barriers of dislocation network [32]. In 50% CCR sample, ultrafine grains formed in some locations due to change in orientation, which is evidenced by the macroscopic plastic flow of the dislocation cells and stacking faults, as shown in the inset of Fig. 2c. In 50% RTCR zircaloy-2, dislocation cells with dislocations trapped inside were observed, as shown in Fig. 2d. Ultrafine grains are also formed in the alloy due to macroscopic plastic flow of dislocation cells. Insets of Fig. 2c and d show the selected area diffraction (SAD) patterns, which prove grain formation, while the elongated pattern shows the variation in lattice parameter. Further reduction up to 85% is possible only in room-temperature cross-rolled sample as high CRSS of cryo-rolled alloy causes cracking after 50% thickness reduction.

Annealing the rolled samples at 673 K for 30 min leads to rearrangement of dislocation, which facilitates the nucleation of grains inside the crystals, as shown in Fig. 3. The internal stress inside the crystals increases with increasing plastic strain, and it is developed locally due to the hcp structure and orientations of crystals. Annealing at low temperature provides the driving force for the nucleation of deformed grains in these places. The grains that are already formed due to dynamic recrystallization transformed into dislocation free grains upon annealing treatment. In 25% CCR and 25% RTCR samples, grains with low-angle grain boundaries inside the white squares are observed in Fig. 3a, b. The formation of ultrafine grains has increased with increasing strain followed by annealing as evident from Fig. 3.

3.2 Mechanical Properties

Mechanical properties of the CCR and RTCR samples are listed in Table 2. With every pass during cross-rolling, the strain path and loading direction over the sample get changed due to which more defects are generated. CCR sample showed substantial improvement in strength

Fig. 2 TEM images of cryo-cross-rolled (CCR) and room-temperature cross-rolled (RTCR) zircaloy-2: **a** 25% CCR; **b** 25% RTCR; **c** 50% CCR; **d** 50% RTCR

Fig. 3 TEM images of cryo-cross-rolled (CCR) and room-temperature cross-rolled (RTCR) zircaloy-2 after annealing at 400 °C for 30 min: **a** 25% CCR; **b** 25% RTCR; **c** 50% CCR; **d** 50% RTCR

Table 2 Mechanical properties of Zircaloy-2 after cross rolling

	Hardness (HV)	Tensile strength (MPa)	Yield strength (MPa)	% Elongation at break
Mercury quenched	182	499	331	25
25% RTCR	211	693	632	13.3
50% RTCR	233	727	684	10.45
25% CCR	215	734	698	10.2
50% CCR	237	786	753	8.7
25% RTCR Annld	211	628	592	16.2
50% RTCR Annld	233	710	634	12.5
25% CCR Annld	215	659	621	12.8
50% CCR Annld	237	735	703	9.8

compared to RTCR alloy due to its higher dislocation density achieved through suppression of dynamic recovery. The change in strain path during each pass also induces plastic strain inside the crystals, and therefore, only for 50% reduction in thickness, the formation of ultrafine and nanograins has occurred inside the matrix, which are not observed for normal cryo-rolled alloy, as reported in earlier works [20, 21]. Large dislocation densities as well as ultrafine grains are responsible for strain hardening of the alloy. At cryo-temperature, thickness reduction beyond 50% was not achieved due to fracture of samples owing to high CRSS. Tensile strength of 50% CCR alloy was found to be 786 MPa with 8.7% ductility, while the RTCR alloy showed strength of 727 MPa with 10.45% ductility at break. The strength and ductility of room-temperature-rolled zircaloy-2 after 85% reduction, reported in our earlier work, showed 13% and 36% lesser, respectively, as compared to CCR sample. The tensile strength and ductility of RTR alloy were less by 6.0% and 47.0%, respectively, as compared to RTCR alloy in the present work. The increase in ductility may be due to multimodal grain structure and movement of preexisting dislocation at higher stress [12, 33, 34].

Annealing at 673 K for 30 min results in nucleation and grain growth in deformed zircaloy-2, thus forming

ultrafine and nanocrystalline grains. Due to hcp structure, internal stress developed during deformation is not uniformly distributed, which causes anisotropic grain growth leading to the formation of multimodal grain structure in the alloy. Annealing at low temperature improves the ductility without the drop in strength. The improvement in ductility of 50% CCR zircaloy-2 was 9.8%, while 12.5% of RTCR zircaloy-2 after annealing. The tensile strength of CCR and RTCR samples was found to be 735 and 710 MPa, respectively. The 25% RTCR alloy upon annealing showed a ductility of 16.2% with tensile strength of 628 MPa. The improvement in ductility is 62.9% of 25% RTCR zircaloy-2, and it is 85% of RTR annealed zircaloy-2 whose tensile strength almost equal, which was reported earlier [21].

3.3 EBSD and KAM Analysis

It is observed from Fig. 4a that after 25% CCR, grains with near-basal orientation, in red color, are left non-deformed as they are elastically harder [35]. Low-angle misorientations are increasing, which are dislocation cells and tangles as seen from TEM results. The misorientation chart in Fig. 4c shows that the low-angle grain boundaries are increasing with increase in reduction. Grains with some

Fig. 4 EBSD images of 25% **a** and 50% **b** CCR zircaloy-2; average misorientation **c** and KAM **d** of CCR and RTCR zircaloy-2; KAM images of 25% **e**, 50% **f** CCR zircaloy-2

partitions in 25% CCR are seen from Fig. 4a show orientation of about 20° , which may be evidence of $\{11\bar{2}1\}$ extension twinning, and it has disappeared after misorientation due to activation of slip inside the crystal. In the 50% CCR sample, only grains with near-basal orientation are left undeformed because they are non-fragmenting and elastically harder (Fig. 4b). However, due to change in strain during each pass, dislocations are formed inside these basal grains also, which can be seen from Kernel average misorientation (KAM) (Fig. 4f). CCR and RTCR samples (25% and 50%) show high and almost same fraction of low-angle grain boundaries (Fig. 4c), which also proves the effect of change in strain path resulting in more strain hardening.

Kernel average misorientation (KAM) is defined as the average misorientation of the particular point with all its neighbors having misorientation exceeding 5° is excluded [18]. KAM generally signifies the dislocations and stored energy inside the crystals, and it depends on the step size. The step size of $0.1 \mu\text{m}$ is taken for analyzing the EBSD results in the present work. The red region in the KAM shows very highly deformed regions, whose values are excluded from the graph (Fig. 4d) as such a high

misorientation near 5° on those places shows varying orientation with low CI index, leading to high value. KAM of 25% CCR sample (Fig. 4e) shows that most of the grains are with large dislocation density inside them. However, the KAM image of 50% CCR sample (Fig. 4f) shows the presence of dislocations inside these near-basal non-fragmenting grains, due to change in strain path during rolling. It enables for improving the strength, hardness and ductility. Comparison of both 50% CCR and RTCR samples shows that there is no major difference in KAM and misorientation (Fig. 4c, d) except little variation in their mechanical properties. Large KAM value can be seen near the grain boundary and in some grains, in 25% CCR sample. The high KAM value near the grain boundary shows that these grains should have hard orientation. In 50% CCR sample, almost all the grains with harder orientation show high KAM value, which reflects the effect of change in strain path.

3.4 Texture

$\{0002\}$ and $\{01\bar{1}2\}$ pole figure (Fig. 5a) of mercury-quenched zircaloy-2 shows a fully recrystallized structure

Fig. 5 {0002} and {0112} pole figure images of zircaloy-2: **a** mercury quenched; **b** 25% CCR; **c** 50% CCR; **d** 25% CCR after annealing at 400 °C for 30 min; **e** 50% CCR after annealing at 400 °C for 30 min

with texture toward transverse direction, which are in tandem with the EBSD results in Refs. [20, 21]. After 25% CCR, {0002} poles are aligned 30° toward the rolling direction and {0112} poles are shifted toward the transverse direction. They spread toward the rolling direction, as seen from Fig. 5b. The intensity of 25% CCR sample is 12, which shows that deformation in CCR sample occurred mostly through twinning. The mercury-quenched zircaloy-2 has shown the texture toward basal orientation. Thus, in CCR sample, apart from {1012} extension twinning, {1122} contraction twinning is extensive, as evident from EBSD image [8, 17]. Also, some traces of {1121} tensile twins are also seen in EBSD (Fig. 4a) [8]. Since there is a change in strain path at each reduction during rolling, deformation due to activation of prismatic slip might have also taken place. {0002} and {0112} pole figure (Fig. 5c) of 50% CCR sample shows that deformation occurred through primary {1122} contraction twinning along with secondary {1012} extension twinning [8]. Basal grains with misorientation inside them can be seen (Fig. 4b), showing activation of slip at cryo-temperature. Prismatic slip

might have taken place inside these grains. Further, activation of {0002} basal $\langle a \rangle$ slip is also seen from Schmid and Taylor factor analysis discussed in the following section [36]. The intensity of 50% CCR zircaloy-2 has increased to 13, showing that destabilization of substructure is minimal and showing texture hardening, which results in large fraction of grains having orientation near basal due to which further reduction causes crack formation leading to failure of materials.

In 25% RTCR sample, the {0002} poles are toward rolling direction, but they are expanded slightly toward the transverse direction, as shown in Fig. 6a. The texture is almost the same in both CCR and RTCR samples except the weak texture observed in 25% RTCR condition, as its intensity is 7.9. Hence, deformation occurred through both slip and twinning. Initial texture is toward basal showing the activation of {1010} prismatic slip, and it is dominant in all the grains. While {0002} basal $\langle a \rangle$ slip occurs in non-basal grains, {1123} pyramidal $\langle c+a \rangle$ slip in basal grains might have taken place [32, 37]. {0002} and {0112} pole figure of 50% RTCR zircaloy-2 shows that these poles are spreading toward the rolling direction in both

Fig. 6 {0002} and {011̄2} pole figure images of 25% **a**, 50% **b**, 75% **c**, 85% **d** RTCR zircaloy-2

Fig. 7 {0002} and {011̄2} pole figure images of 25% **a**, 50% **b**, 75% **c**, 85% **d** RTCR zircaloy-2 after annealing at 400 °C for 30 min

conditions (Fig. 6b), which may be due to the change in strain path by cross-rolling. Since most crystals are basal oriented, prismatic, basal $\langle a \rangle$ and pyramidal $\langle c + a \rangle$ slips might have been activated [32], causing deformation to occur mostly by slip up to 50% reduction. On further reduction in RTCR zircaloy-2 (Fig. 6c, d), the basal poles are inclined due to which pyramidal slip activity has increased and because of change in strain path, basal and prismatic activities were also observed [8, 32].

Annealing causes recrystallization as well as stress relieving to the deformed grains. The grains thus reorient themselves and prismatic plane aligns toward the transverse direction. Here, due to change in strain path, the prismatic planes get inclined in both the rolling directions.

The effect of annealing on 25% and 50% CCR samples can be seen from Fig. 5d, e. It clearly shows the weakening of basal texture as well as spreading of {0002} basal grains. There is no effect on the intensity after annealing on 25–85% RTCR samples as seen from Fig. 7.

3.5 Schmid Factor and Taylor Factor

Deformation of the crystal occurs in the plane, where RSS is greater than CRSS value. The Taylor's theory is based on the assumption that plastic deformation is caused entirely by dislocation slip and deformation in the grains is almost identical to macroscopic deformation [18]. The Taylor

Fig. 9 Basal slip Taylor factor **a** and Schmid factor **b** values of CCR and RTCR zircaloy-2; basal slip Taylor factor image **c**, Schmid factor image **d** of 50% CCR zircaloy-2

are formed in zirconium [39]. If spacing between the dislocations with Burgers vector b has a boundary h , then the crystal on either side of low-angle boundary is tilted by θ

$$\theta \approx 2 \sin \frac{\theta}{2} = \frac{b}{h}, \quad (3)$$

This equation is also called Frank's formula [40]. When distance between the misorientation (step size) is d , area of the boundary planes with the neighboring points per unit volume can be represented by the length l and area A of hexagon, in consideration of unit thickness as

$$\frac{(l/A)}{A} = \frac{\sqrt{3}d}{\sqrt{3}d^2/2} = \frac{2}{d}, \quad (4)$$

Then, the β/h is the length of dislocation line per unit area, β is a constant depends on geometry of dislocation arrangement. Value of β is taken as 2 for tilt and 4 for twist

boundaries. The dislocation line length per unit area and stored energy are given by [18, 31, 41]

$$\rho \approx \frac{\beta\theta}{bd}, \quad (5)$$

$$E = \rho \frac{Gb^2}{2}, \quad (6)$$

$$E = \frac{\beta G b \theta}{2d}, \quad (7)$$

where θ is the average KAM between dislocation boundaries; G is the shear modulus; d is the step size.

The value of β is taken as three due to deformed structure, mostly at low temperature in zircaloy-2. Since $\langle a \rangle$ slip is dominant, the value of b corresponding to $\langle a \rangle$ slip is taken as 0.3232 nm. Shear modulus of 34.8 GPa for both CCR and RTCR samples is considered for comparison [42]. Step size for of EBSD scan is 0.1 μm . Table 4 shows

Table 4 Energy and dislocation density of cross rolled samples

	Mercury quenched	25% CCR	25% RTCR	50% CCR	50% RTCR
Average KAM (radian)	0.008105	0.01951	0.017593	0.0276914	0.0233
Dislocation density $\rho(\text{m}^{-2})$		9.944×10^{14}	8.805×10^{14}	1.818×10^{15}	1.41×10^{15}
Energy (J/mol)		29.02	25.70	53.06	41.15

the value of dislocation density and energy of CCR and RTCR zircaloy-2. The variation in dislocation density and energy with increase in thickness reduction in RTCR and CCR shows that they are higher at cryo-temperature due to suppression of dynamic recovery. Although, above-mentioned method for calculation of energy gives more accurate results in case of medium and high stacking fault energy materials, but variation of stored energy after deformation can be compared in case of zircaloy-2 [31]. The formation of ultrafine grain during annealing is influenced by the stored strain energy also. The locations where the KAM is high, stored energy is also high, which on annealing recrystallization to form ultrafine grains.

4 Conclusions

Cross-rolling of zircaloy-2 at cryo-temperature leads to the formation of ultrafine grain structure in the bulk alloy even at 50% reduction. The tensile strength and ductility of cross-rolled zircaloy-2 are found to be 786 MPa and 8.7%, respectively. Annealing of deformed zircaloy-2 at 400 °C for 30 min leads to improvement in ductility of 9.8%.

The deformation in CCR sample up to 25% reduction takes place by activation of prismatic, extension and contraction twinning, while further deformation up to 50% reduction occurs by primary contraction twinning and secondary extension twinning due to which basal texture become stronger and further deformation leads to failure. Activation of basal slip at cryo-temperature after 25% rolling is also observed. Basal pole and EBSD image showed that at room temperature, deformation occurs by activation of various slip systems such as prismatic, pyramidal and basal at high strain. The CCR sample show larger stored energy (53.06 J/mol) and dislocation density ($1.818 \times 10^{15} \text{ m}^{-2}$) as compared to RTCR due to suppression of dynamic recovery.

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